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3738^{TFW}

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/519,246

Filing Date March 6, 2000

First Named Inventor Stuart K. Williams

Art Unit 3738

Examiner Name Thomas C. Barrett

Attorney Docket Number 9896.143.0

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address; Statement Under 37 CFR 3.73(b) w/copies of Assignmen
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	SurModics, Inc.		
Signature			
Printed name	Steven J. Keough		
Date	May 1, 2006	Reg. No.	33,190

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Patricia M. Best	Date	May 1, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/519,246
Filing Date	March 6, 2000
First Named Inventor	Stuart K. Williams
Art Unit	3738
Examiner Name	Thomas C. Barrett
Attorney Docket Number	9896.143.0

I hereby revoke all previous powers of attorney given in the above-identified application.☐ A Power of Attorney is submitted herewith.**OR**☒ I hereby appoint the practitioners associated with the Customer Number:

55504

☒ Please change the correspondence address for the above-identified application to:☒ The address associated with
Customer Number:

55504

OR☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Steven J. Keough

Date

May 1, 2006

Telephone

(952) 345-3524

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PTO/SB/96 (12-05)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: SurModics, Inc.

Application No./Patent No./Control No.: 09/519,246 Filed/Issue Date: March 6, 2000

Entitled: ENDOASCULAR GRAFT COATINGS

SurModics, Inc., a corporation
(Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Stuart K. Williams and David L. Clapper To: ARIZONA BOARD OF REGENTS
The document was recorded in the United States Patent and Trademark Office at
Reel 010956, Frame 0544, or for which a copy thereof is attached.
2. From: ARIZONA BOARD OF REGENTS To: SURMODICS, INC.
The document was recorded in the United States Patent and Trademark Office at
Reel 010956, Frame 0541, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Steven J. Keough
Signature
Steven J. Keough

May 1, 2006

Date

Printed or Typed Name

Telephone Number

Sr. Vice President, Chief Intellectual Property Counsel
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
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SEPTEMBER 19, 2000

PTAS

FREDRIKSON & BYRON, P.A.
PHILIP M. GOLDMAN
1100 INTERNATIONAL CENTRE
900 SECOND AVENUE SOUTH
MINNEAPOLIS MN 55402



101420810A

UNITED STATES PATENT AND TRADEMARK OFFICE
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/10/2000

REEL/FRAME: 010956/0541
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ARIZONA BOARD OF REGENTS ON BEHALF OF THE UNIVERSITY OF ARIZONA,
THE

DOC DATE: 04/10/2000

ASSIGNEE:

SURMODICS, INC.
9924 WEST 74TH STREET
EDEN PRAIRIE, MINNESOTA 55344

SERIAL NUMBER: 09519246
PATENT NUMBER:

FILING DATE: 03/06/2000
ISSUE DATE:

SEDLEY PYNE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

*Docketed
in Notes
9-27-00
DLH*

RECEIVED
SEP 25 2000
P.M. Goldman

ASSIGNMENT

The Arizona Board of Regents on behalf of the University of Arizona, a nonprofit educational institution with offices located at 1501 North Campbell Avenue, Tucson, AZ (the "Board"), is the assignee of an invention relating to ENDOVASCULAR GRAFT COATINGS and an application for United States Letters Patent based thereon and identified by attorney's File No. 9896.143.0, and naming the following inventors: Stuart K. Williams and David L. Clapper, S.N 09/519246 , filed March 6, 2000. The Board hereby authorizes and requests its attorney, Philip M. Goldman of 1100 International Centre, 900 Second Avenue South, Minneapolis, Minnesota 55402, to insert the application number of said application when known.

In exchange for good and valuable consideration, the receipt of which is acknowledged, the Board hereby assigns to SurModics, Inc., a Minnesota corporation having its principal place of business at 9924 West 74th Street, Eden Prairie, Minnesota 55344-3523, its successors and assigns, an undivided one-half interest:

- (1) In the above-identified invention and application;
- (2) In any United States or foreign Letters Patents which may issue with respect to the above-identified invention;
- (3) In any renewals, reissues, extensions, substitutions, continuations, continuations-in-part, or divisions that may be filed with respect to the above-identified invention and application; and
- (4) The right to apply for Letters Patents in foreign countries and to claim any priority rights to which such foreign applications are entitled under international conventions, treaties or otherwise.

The Board further agrees to execute and deliver without further consideration any further applications, assignments or other documents and to perform such other lawful acts as SurModics, Inc., its successors and assigns may deem necessary to secure its rights, title or interest as outlined herein.

The Board authorizes and requests the Commissioner of Patents and Trademarks to issue to the Arizona Board of Regents on behalf of the University of Arizona and to SurModics, Inc. any Letters Patents which may be granted in accordance with this Assignment.

Dated this 10th day of April, 2000.

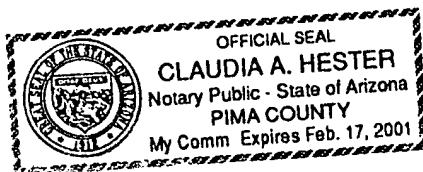
THE ARIZONA BOARD OF REGENTS ON
BEHALF OF THE UNIVERSITY OF ARIZONA

Richard A. Hanesy Jr.
By RICHARD A. HANESY JR.
Its SPECIALIST, INTELLECTUAL PROPERTY
MANAGEMENT

Subscribed and sworn to before me
this 10th day of April, 2000

Claudia A. Hester
Notary Public

2354908





UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

SEPTEMBER 19, 2000

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FREDRIKSON & BYRON, P.A.
PHILIP M. GOLDMAN
1100 INTERNATIONAL CENTRE
900 SECOND AVENUE SOUTH
MINNEAPOLIS, MN 55402



101420809A

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RECORDATION DATE: 07/10/2000

REEL/FRAME: 010956/0544
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WILLIAMS, STUART K.

DOC DATE: 03/21/2000

ASSIGNOR:
CLAPPER, DAVID L.

DOC DATE: 03/28/2000

ASSIGNEE:
ARIZONA BOARD OF REGENTS ON BEHALF
OF THE UNIVERSITY OF ARIZONA,
THE
1501 NORTH CAMPBELL AVENUE
TUCSON, ARIZONA 85724

SERIAL NUMBER: 09519246
PATENT NUMBER:

FILING DATE: 03/06/2000
ISSUE DATE:

*Docketed in
Notes
9-27-00
DLH*

RECEIVED
SEP 25 2000
P.M. Goldman

010956/0544 PAGE 2

SEDLEY PYNE, PARALEGAL
ASSIGNMENT DIVISION
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ASSIGNMENT

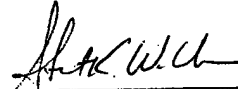
We, Stuart K. Williams and David L. Clapper, citizens of the United States, residing at 5181 N. Circulo Sobrio, Tucson, AZ 85718 and 6110 Chestnut Terrace, Shorewood, MN 55331, respectively, have made an invention relating to ENDOVASCULAR GRAFT COATINGS and have executed an application for United States Letters Patent based thereon and identified by attorney's File No. 9896.143, and naming the following inventors: Stuart K. Williams and David L. Clapper, S.N. 09/519246, filed March 6, 2000. We hereby authorize and request our attorney, Philip M. Goldman of 1100 International Centre, 900 Second Avenue South, Minneapolis, Minnesota 55402, to insert the application number of said application when known.

In exchange for good and valuable consideration, the receipt of which we now acknowledge, we hereby assign to The Arizona Board of Regents on behalf of the University of Arizona, a nonprofit educational institution with offices located at 1501 North Campbell Avenue, Tucson, AZ, its successors and assigns:

- (1) The entire right, title and interest to the above-identified invention and application;
- (2) The entire right, title and interest to any United States or foreign Letters Patents which may issue with respect to the above-identified invention;
- (3) The entire right, title and interest to any renewals, reissues, extensions, substitutions, continuations, continuations-in-part, or divisions that may be filed with respect to the above-identified invention and application; and
- (4) The right to apply for Letters Patents in foreign countries in its own name and to claim any priority rights to which such foreign applications are entitled under international conventions, treaties or otherwise.

We further agree for ourselves and for our heirs, executors and administrators, to execute and deliver without further consideration any further applications, assignments or other documents and to perform such other lawful acts as The Arizona Board of Regents on behalf of the University of Arizona, its successors and assigns may deem necessary to fully secure its rights, title or interest as outlined herein.

We hereby authorize and request the Commissioner of Patents and Trademarks to issue to The Arizona Board of Regents on behalf of the University of Arizona any Letters Patents which may be granted in accordance with this Assignment.

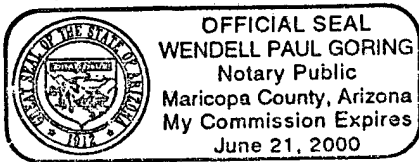

Stuart K. Williams

March 21, 2000.

Subscribed and sworn to before me
this 21 day of March, 2000

State of Arizona)
) ss
County of Pima)

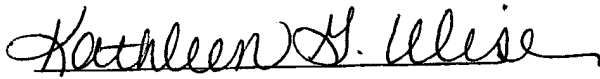

Notary Public

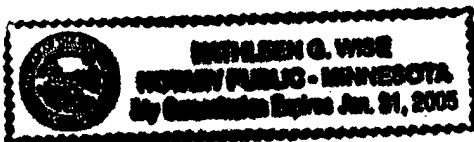



David L. Clapper

March 28, 2000.

Subscribed and sworn to before me
this 28 day of March, 2000


Notary Public



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